

EASY CHECK-OUT WITH ENHANCED SECURITY

Prior Foreign Application

5 This application claims priority from European patent application number 99126197.5, filed December 30, 1999, which is hereby incorporated herein by reference in its entirety.

Technical Field

10 This invention relates to a check-out system for supermarkets or department stores for accomplishing an easy check-out with enhanced security.

15 This invention relates in particular to a contactless label chipcard including payment and identification information and a check-out system including a contactless reader for reading and updating payment status information stored in that label chipcard.

Background Art

20 In supermarkets or department stores consumers normally put articles selected to buy into a shopping cart, move the cart to a check-out station at which the articles have to be paid and put them onto a belt. The cashier scans each article separately by the use of a bar code reader and puts the article back on the belt. Then, the customer puts back the articles into his cart or into a bag.

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5 In more advanced supermarkets customers are entitled to scan the barcode of the articles by themselves by a device embedded in the cart or by a hand held device. At the check-out station the cashier or the customer transfers the data from the device into the POS system and the invoice or bill is issued by the check-out system. Preventing frauds customers will be selected accidentally and controlled. This system is based on trusting in the customer. A disadvantage of that system is that frauds cannot not be excluded

10 totally.

15 Prior art systems which deal with contacts labels attached to articles or products mainly discusses anti theft systems. The operation of those antitheft systems with the single use label is based on two principles. One system utilizes an electromagnetic radio frequency (RF) field of a defined frequency and works with labels or tags which contains a tuned circuit consisting of a coil and capacitor. The other systems utilizes an electromagnetic RF field of a defined frequency and works with labels containing a strip

20 of amorphous metal having specific properties.

Few examples of those systems are disclosed in the following US patents:

25 US 5 608 380 discloses a deactivation and coding system for contactless anti theft or identification label. This system uses a pyrotechnic material to burn away a track portion, whereby an electrical connection is severed. The labels in the system consist of tuned circuits in the form

of one or more coil/capacitor combinations. Deactivation can be effected by severing the connection between coil and the associated capacitor.

US 5 103 210 discloses an activatable/deactivatable security tag for use with a electronic system for a controlled area. The tag comprises circuitry for initially establishing a resonant circuit having a first resonating frequency within a first frequency range which is outside the range of the detection frequency of the electronic security system. The tag is activated by changing the resonating frequency of the resonant circuit to a second frequency within the detection frequency range by exposing the resonant circuit to electromagnetic energy with the first frequency range at the predetermined minimum power level to short-circuit a first circuit component. The tag is deactivated by again changing the resonant frequency of the resonant circuit to a third resonant frequency within a third frequency range which is also outside of the detection frequency range exposing the resonant circuit to electromagnetic energy within the detection frequency range of at least a predetermined minimum power level to short-circuit a second component.

US 5081445 discloses an electronic article surveillance (EAS) tags which are attached to articles of merchandise not at the stores using EAS equipment, but in conjunction with the manufacture of these articles. At that stage, the tags are detectable by the EAS equipment. They are made detectable upon receipt by an EAS using store. For swept

frequency RF EAS equipment, the tags are initially provided with two capacitors which make the tags resonant at a first frequency not detectable by the store's equipment. To activate them, one capacitor is disabled, thereby making the tags resonant at a different frequency which is detectable.

A further use of label chipcards is disclosed in the press announcement of Philips
(http://www-us.semiconductors.com/news/content/file_381.html) as follows:

The world's first large-scale trial to identify airline luggage, using disposable "smart labels" to speed up luggage handling, reduce missing baggage and increase security, began this month. For the two month trial, British Airways has asked Philips Semiconductors to test its I•CODE smart label radio frequency identification (RFID) technology to identify 75,000 suitcases travelling with passengers from Munich, Germany and Manchester, UK to London's Heathrow airport.

Each "smart bag tag" contains an integrated circuit (IC), that can be programmed with detailed information such as the date and time the luggage is checked in, the weight, as well as a unique identification number and the passenger's destination.

The I•CODE IC is so thin and small it can be placed between two layers of paper, inside the baggage tag currently used by airlines. The IC is attached to an

antenna, which also lies inside the label, and communicates with the scanner by radio signals, from a distance of up to 1.2 metres away. No battery is required inside the label as the I•CODE chip is powered by the radio signal from the scanner.

With the present bar coded luggage tags, nearly half of baggage in transfer requires manual handling to route it to the correct aircraft. Smart labels have many advantages over bar coded alternatives as they use radio frequency to communicate and therefore do not require a direct "line of sight". They can also be scanned from over one meter away. The technology allows several smart labels to be scanned simultaneously, speeding up the baggage handling process. In addition, the information on smart labels can be re-programmed, or added to, without the need to print and attach a new label. This means, for example, that new travel details can be easily added.

British Airways is carrying out the field test on a non-competitive basis, inviting other major airlines to visit the installation. The results will be presented to IATA, the International Air Transport Association.

All above mentioned anti theft systems have the disadvantage that the labels are exclusively used as a security labels for detecting not paid articles. The labels itself does not simplify the payment. Furthermore, the security labels having no sufficient protection against manipulation.

Summary of the Invention

It therefore object of the present invention to teach a method and system for simplifying the above mentioned systems and simultaneously improving their security.

5 This object is reached by the features of the independent claims. Further advantageous embodiments of the present invention are laid down in the subclaims.

10 According to this invention all articles offered in the store are labeled with a contactless label chipcard. In the memory of the label chipcard at least the label and product identification information (number) and payment status information (paid or unpaid) are stored. In a further embodiment of the present invention the chipcard stores additionally product price information as well an
15 authentication key. For carry out that invention the check-out system requires an additional computer program which executes the communication between the label chipcard and the check-out system inclusively the payment.

20 Passing the check-out system with the cart in which articles with attached label chipcards are placed a communication between contactless label chipcard and checkout system will be established. Preferably the communication is established over a radio field generated by
25 a generator of the check-out system. Based on the information received from the label chipcard, the check-out system generates the invoice or bill and the payment status

information for each article will be updated into PAID.
Articles having the payment status not paid will be detected
by a warning system which is part of the check-out system.

- 5 The check-out system is positioned at such a point in
the store that all articles can remain in the cart without
putting them onto a belt. This simplifies essentially the
buying in a store.

Brief Description of the Drawings

- 10 The present invention is described using a preferred
embodiment with figures, where

FIG 1 shows a standard chipcard which
may be used in the present invention.

- 15 FIG 2 shows a standard device for
contactless communication between an
accepting device (e.g. check-out system) and
a contactless chipcard (e.g. label chipcard).

- 20 FIG 3 shows basic architecture of the
present invention with optional payment
services.

FIG 4 shows a preferred embodiment of
the communication protocol between label
chipcard and check-out system.

FIG 5 shows a preferred method for contactless payment according to the present invention.

Best Mode for Carrying Out the Invention

5 FIG 1 shows a block diagram of a contactless chipcard. The chipcard includes a contactless interface, antenna, resistors, diode, clock generator & data receiver, voltage, regulator, transmitter driver, microcontroller and nonvolatile memory. Such contactless chipcards are not
10 thicker than ... available on the market.

FIG 2 shows energy and clock transmission between accepting device which could be a part of a check-out system and a contactless chipcard which could be used as a label chipcard.

15 To transfer data from the acceptance device to the contactless chipcard two methods are actually used: the capacitive and the inductive coupling.

20 With the capacitive coupling small metal plates must be integrated in the acceptance device and the card. The plates provide a capacity of some 10 pF. This capacity is high enough for data transmission but too small for energy transmission.

For data transmission two metal plates are used to allow a differential voltage method.

Each plate is charged in the opposite polarity. An inverting of the voltage is interpreted as a change of the logic state of the serial data stream.

5 If the distance between the chipcard and the device is increased, the capacity decreases and the data transmission can be interrupted. Therefore the capacity coupling is only possible for an operating range of some mm, in addition, the card must be in a relative exact position within the accepting device.

10 Allowing transmission over a larger distance the inductive coupling is used. Within this method an already existing RF-frequency, that is used for energy transmission, is used also for data transmission. Data transmission is
15 accomplished by modulating the carrier signal by amplitude, frequency or phase. The close coupling cards use the phase shift key method and the remote fix coupling cards use the amplitude shift key method.

20 To transfer the data from the chipcard to the accepting device also two methods are actually used: the capacitive coupling and the inductive coupling. The capacitive coupling is equivalent as used to transfer the data from the acceptance device to the card.

25 To allow a data transmission over a large distance the inductive coupling is used. The mainly method is load modulation with use of a sub carrier frequency. The sub carrier frequency is generated by dividing the carrier

frequency of the accepting device on the card. A load modulation is performed by switching a load resistor in parallel to the coil (antenna). In the state "load on" resistor is intermediate switched on and off with the sub
5 carrier frequency. The acceptance device measures the load changes and converts this changes to a serial data stream. The bit coding of the serial data stream is system dependent implemented.

FIG 3 shows the basic architecture of the present
10 invention with optional payment services.

A significant component of the present invention is the inventive check-out system which preferably includes a contactless reader for reading information received from the contactless label chipcard which is attached to each
15 article. A further embodiment of the check-out system includes a contactless reader as well as a reader for contact payment chipcards. The contact payment chipcard could be an electronic purse or a credit card.

Another further embodiment of the check-out system
20 includes additionally a reader for reading magnetic stripe cards. The check-out system accesses product data for calculating invoice or bill identified by the information received from the label chipcard. These product data or enterprise data are preferably available via an enterprise
25 server having an enterprise data base.

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A further embodiment of the present invention suggests the implementation of a system for determining payment possibilities for a customer based on the information received from chipcard, e.g. VISA card, My Loyalty Card,
5 electronic purse which are inserted in a contact reader of the check-out system. In the case a contactless customer payment chipcard is offered by the store the customer chipcard preferably contains the label ID (e.g. label ID = My LoyaltyCard), customer identification number (e.g. 0815),
10 customer name (e.g. Müller), authentication key (e.g. k2) and credit limit(e.g. 400 DM).

In the case a contactless employee chipcard is used such a chipcard preferably contains the label ID (e.g IBM BADGE), employee identification number (e.g. 0816), employee
15 name (e.g. Müller) and the authentication key (e.g. k2).

Finally a contact chipcard like a magnetic stripe card preferably contains the label ID (e.g. VISA card), card number (0818) and expiry date (e.g. 01/100).

A contactless label chipcard usually communicates with
20 the reader of the check-out system via radio frequencies using a specific protocol. In a contactless environment, several contactless chipcards can be present at the same time in an activation field. To communicate with a specific chipcard, the IFD subsystem uses an anticorrosion procedure.
25 Each chipcard is identified and addressed using a specific ID.

The inventive check-out system preferably includes an interface device with the following components:
a display, an input-device, e.g. keyboard, an output-device, e.g. a printer.

- 5 Finally, the check-out system includes an anti theft alarm system for detecting articles which are not paid.

The label chipcard which is attached on each article contains preferably following information:
label ID

- 10 product or article ID
product or article status paid/unpaid
authentication key.

Optionally the label chipcard further contains price information.

- 15 These information are stored in a record in the nonvolatile memory of the label chipcard.

- 20 The communication between label chipcard and check-out system may be accomplished by a method as laid down in FIG.2. The contact label chipcard has the functionality of a normal chipcard however a label chipcard in a special thin embodiment is used.

FIG 4 shows a preferred embodiment of the communication protocol between label chipcard and check-out system. Check-out system sends out radio signals

permanently. When a contactless label chipcard is coming in the range of the radio field an ATR will be automatically generated and sent via the antenna to the contactless reader of the check-out system. The contactless reader receives the ATR and in the case the ATR is recognized a RequestState will be sent to the label chipcards.

When the article is already paid the label chipcard sends a ResponseState = PAID to the contactless reader of the check-out system. The label ID will be ignored and not considered in generating an invoice.

When the article is not paid the label chipcard sends a ResponseState=UNPAID to the contactless reader of the check-out system. The check-out system automatically returns a RequestProductInfo to the label chipcard. The label chipcard sends a ResponseProductID to the contactless reader and the Product ID will be stored for generating an invoice. An authentication protocol for authenticating the check-out system is executed when the Request SetPaid is initiated. The authentication protocol may be executed by symmetric or asymmetric algorithm (e.g. DES, RSA). When the check-out system is authenticated, e.g. the label chipcard has generated a digital signature which is identical with the digital signature added to the Request SetPaid, then the article status can be updated by a RequestSetPaid.

The article status is laid down in a record of a file in non volatile memory of the label chipcard.

For example the article status = UNPAID is defined by a specific bit sample. By the "RequestSetPaid" that bit sample will be overwritten by a new bit sample with the status = PAID. Normally the article status is initialized by the manufacturer or packaging company. The communication between label chipcard and contactless reader is preferably performed by means of APDU's.

FIG 5 shows a preferred method for contactless payment according to the present invention.

- 10 The inventive method starts with the step of examination of the presence of a contactless chipcard in the RF field. Not identified chipcards will be ignored. For recognized chipcards the method will determine the article status PAID or UNPAID. If the article status is UNPAID, the
- 15 following steps will be performed by the inventive method:
1. The check-out system sends a request to the selected label chipcard for providing product information
 2. The product information is stored in memory of check-out system
 - 20 3. Step 1 and 2 will be repeated for all identifiable label chipcards
 4. If all label chipcards recognized, generating an invoice (e.g. look up price in enterprise data or use

price stored in the label chipcard) based on
information received from said label chipcards.

- 5 5. Preferably the check-out system is displaying the
generated invoice at the display and is offering
different payment possibilities as options. Preferably
the check-out system offers a graphical user interface
for selecting payment possibilities.
6. The inventive method examines the validity of the
selected and performed payment.
- 10 7. If the payment has been successful e.g. the payment
was valid the method selects a label chipcard
identified in generated invoice.
- 15 8. An authentication protocol for the selected label
chipcard is executed. The authentication may be
executed by a symmetric or asymmetric algorithm. In a
preferred embodiment the check-out system generates
with support of the key A which is identical with key
B of the label chipcard and "RequestSetPaid" a MAC or
digital signature.
- 20 9. The check-out systems sends a "RequestSetPaid" with a
MAC or digital signature to the selected label
chipcard.
- 25 10. The label chipcard will overwrite the record with the
updated payment status information only if the MAC or

digital signature provided with that request is identical with the MAC or digital signature generated by the label chipcard with support of key B.

- 5 11. Steps 8-11 will be repeated for all articles or products identified by the invoice.
12. When all steps are completed or fulfilled the theft alarm system or system for preventing theft will be deactivated.

10 Avoiding that unpaid articles can leave the supermarket or department store without warning signal the "RequestSetPaid" can only be performed when the validity of payment is confirmed. For example, a cashier confirms the payment by pressing a confirmation button. However the confirmation of payment can be made in every other possible way.

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Another embodiment of the inventive method deals with the possibility that the customer can select between different payment methods, e.g. noncash payments by means of credit card or electronic purse.

20 Before the RequestSetPaid can be performed a confirmation step that the noncash payment has been valid must be implemented.

The payment can be performed by means of contact cards like magnetic stripe cards or chipcards, contactless payment

card, e.g. issued by the store, or cash payment, e.g. automated cashier system.

There are several advantages of the present invention compared with prior art systems. This invention allows
5 buying articles without recording them manually by the cashier. This is more convenient for the customer as well the cashier. Furthermore, the customer does not have to unpack his cart and put all articles from the cart onto belt and back in his cart after the articles are scanned. Due to
10 the faster processing, less cashier systems and less personnel are necessary. In the case the customer scans the articles himself, the invention will be used to check the accuracy of the list scanned by the customer.